ocket No. 42P19119

PATENT

Not Yet Assigned

2872

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re	Patent Application of:)	
	Young et al.)	Examiner:
Applic	eation No.: 10/814,050)	Art Unit:
Filed:	March 31, 2004)	** •
For:	SURFACE EMITTING LASER WITH AN INTEGRATED ABSORBER)))	
Mail S	Stop Amendment		

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

FIRST CLASS CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service a class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissione Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 onJuly 21, 2005	
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(Typed or printed name of person mailing correspondence)	
y. Tanafar	
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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: こうし

Anthony H. Azure

Reg. No. 52,580

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Substitute for Form 1449/PTO			Complete if Known			
INFORMATION DISCLOSURE			Application Number	10/814,050		
STATEMENT BY APPLICANT				Filing Date	March 31, 2004	
	'STA我	ME	INT BY APPLICANT	First Named Inventor:	Young et al.	
Lipse as many shoots as necessary)					Art Unit	2872
Sheet (2) of 2					Examiner Name	Not Yet Assigned
Sheet			of	2	Attorney Docket Number	42P19119
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Examiner Initials*	Cite No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
			Number-Kind Code ² (If known)	;		Figures Appear
	1.	US-	5,574,738	11-12-1996	Morgan	
	2.	US-	5,627,853	05-06-1997	Mooradian et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	3.	EP 0398038 A2	11-22-1990	IBM Corporation		
	4.	JP 2003218449	07-31-2003	Ricoh Co., Ltd.		

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document wIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO Complete if Known **Application Number** 10/814,050 INFORMATION DISCLOSURE Filing Date March 31, 2004 STATEMENT BY APPLICANT First Named Inventor: Young et al. (use as many sheets as necessary) **Art Unit** 2872 **Examiner Name** Not Yet Assigned **Attorney Docket Number** Sheet 2 of 42P19119 NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T² Cite Examiner Initials* No¹ item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published ARAHIRA, Shin et al., "Mode-Locking at Very High Repetition Rates More than Terahertz 5. in Passively Mode-Locked Distributed-Bragg-Reflector Laser Diodes," IEEE JOURNAL OF QUANTUM ELECTRONICS, Vol. 32, No. 7, July 1996, pp. 1211-1224. KRAINER, L. et al., "77 GHz solit on modelocked Nd:YVO4 laser," ELECTRONICS 6. LETTERS, Vol. 36, No. 22, October 26, 2000. SPÜHLER, G. J. et al., "Output-coupling semiconductor saturable absorber mirror," 7. APPLIED PHYSICS LETTERS, Vol. 78, No. 18, April 30, 2001, pp. 2733-2735. HUANG, Xiaodong et al., "Passive mode-locking in 1.3 µm two-section InAs quantum dot 8. lasers," APPLIED PHYSICS LETTERS, Vol. 78, No. 19, May 7, 2001, pp. 2825-2827. RAFAILOV, E. U. et al., "Fast quantum-dot saturable absorber for passive mode-locking of 9. solid-state lasers," IEEE, 2003, pp. 557-558. GOMES, Luis A. et al., "Picosecond SESAM-Based Ytterbium Mode-Locked Fiber 10. Lasers," IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, Vol. 10, No. 1, January/February 2004, pp. 129-136. LIVERINI, V. et al., "Low-loss GaInNAs saturable absorber mode locking a 1.3-µm solid-11. state laser," APPLIED PHYSICS LETTERS, Vol. 84, No. 20, May 17, 2004, pp. 4002-LORENSER, D. et al., "Towards wafer-scale integration of high repetition rate passively 12. mode-locked surface-emitting semiconductor lasers," APPLIED PHYSICS B (LASERS AND OPTICS), Vol. B79, No. 8, December 2004, pp. 927-932. 13. PCT Search Report, PCT/US2005/010400, July 6, 2005. 14. PCT Written Opinion, PCT/US2005/010400, July 6, 2005.

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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